

Fiscal Year Ending: 10/31/2022

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Sovernanc	Governance information (Authority-Kelated)	Response	(IRI /if Applicable)
Question	- CONTROL CONT	Senodean	ONE (II Applicable)
1,	Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	https://hcr.ny.gov/governance
2.	As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	https://hcr.ny.gov/governance
ω	Has the Authority named an internal control officer in accordance with section 2931 of PAL?	Yes	N/A
4.	Please enter the number of staff assigned the internal control function.	3	N/A
5.	Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of	Yes	N/A
6.	Does the independent auditor provide non-audit services to the Authority?	No	N/A
7.	Does the Authority have an organization chart?	No	
.∞	Are any Authority staff also employed by another government agency?	Yes	SONYMA TSFC MBBA AHC DHCR HTF
9.	Has the Authority posted their mission statement to their website?	Yes	https://hcr.ny.gov/governance
10.	Has the Authority's mission statement been revised and adopted during the reporting period?	Yes	N/A
11.	Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		https://hcr.ny.gov/governance
12.	Has the Authority adopted a lobbying policy in accordance with section 2987 of PAL?	Yes	https://hcr.ny.gov/governance
	Lobbying officer name	Alejandro J. Valella, VP and Deputy Counsel	N/A
13.	Has the Authority maintained a record of all lobbying contacts during the reporting period, as required by section 2987 of PAL?	Yes	AW



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ary and Compensation e and Attendance	ary and Compensation e and Attendance stleblower Protection	ary and Compensation e and Attendance stleblower Protection ense and Indemnification of Board Members	ary and Compensation e and Attendance stleblower Protection ense and Indemnification of Board Members the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824 of PAL?	If in accordance with Section 28 forms in accordance with Section	If in accordance with Section 28 forms in accordance with Section	If in accordance with Section 28 forms in accordance with Sectio
Yes Yes	Yes Yes	Yes Yes Yes	Yes Yes Yes Yes	Yes Yes Yes Yes Yes	Yes Yes Yes Yes Yes Yes	Yes Yes Yes Yes Yes Yes Yes Yes
N/A	N/A	N/A N/A	N/A N/A N/A	N/A N/A N/A	N/A N/A N/A	N/A N/A N/A N/A
	Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?  Salary and Compensation  Time and Attendance  Whistlebiswer Protection  Yes  Yes	Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?  Salary and Compensation  Time and Attendance  Whistlebi-wer Protection  Defense and Indemnification of Board Members  Yes  Yes	Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?  Salary and Compensation  Time and Attendance  Whistleblawer Protection  Defense and Indemnification of Board Members  Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824  Yes  (5) of PAL?	Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?  Salary and Compensation  Time and Attendance  Whistleblower Protection  Defense and Indemnification of Board Members  Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824  (5) of PAL?  Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section  Yes  Yes  Yes  Yes  Yes  Yes  Yes  Ye	Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?  Salary and Compensation  Time and Attendance  Whistleblower Protection  Defense and Indemnification of Board Members  Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824  (5) of PAL?  Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section  Yes  2825(3) of PAL?  Was a performance evaluation of the board completed?  Yes	Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?  Salary and Compensation  Time and Attendance  Whistleblawer Protection  Defense and Indemnification of Board Members  Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824  (5) of PAL?  Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section  Yes  Was a performance evaluation of the board completed?  Was compensation paid by the Authority made in accordance with employee or union contracts?  Yes  Yes
Yes	Yes Yes	tion of Board Members Yes Yes	Time and Attendance  Whistleblower Protection  Defense and Indemnification of Board Members  Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824  Yes  (5) of PAL?	Time and Attendance  Whistleblower Protection  Defense and Indemnification of Board Members  Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824  (5) of PAL?  Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section  Yes  2825(3) of PAL?	Time and Attendance  Whistleblower Protection  Defense and Indemnification of Board Members  Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824  (5) of PAL?  Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section  2825(3) of PAL?  Was a performance evaluation of the board completed?  Yes	Time and Attendance  Whistleblower Protection  Defense and Indemnification of Board Members  Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824  (5) of PAL?  Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section  2825(3) of PAL?  Was a performance evaluation of the board completed?  Yes  Was compensation paid by the Authority made in accordance with employee or union contracts?  Yes
	Yes	tion of Board Members Yes Yes	Whistleblawer Protection  Defense and Indemnification of Board Members  Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824  Yes  (5) of PAL?	Whistleblawer Protection  Defense and Indemnification of Board Members  Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824  (5) of PAL?  Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section  Yes  2825(3) of PAL?	Whistleblawer Protection  Defense and Indemnification of Board Members  Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824  (5) of PAL?  Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section  Yes  Was a performance evaluation of the board completed?  Yes	Whistleblawer Protection  Defense and Indemnification of Board Members  Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824  (5) of PAL?  Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section  Yes  Was a performance evaluation of the board completed?  Was compensation paid by the Authority made in accordance with employee or union contracts?  Yes

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## Board of Directors Listing

Name	Adams, Kenneth G	Nominated By	Governor
Chair of the Board	Yes	Appointed By	Governor
If yes, Chair Designated by	Governor	Confirmed by Senate?	Yes
Term Start Date	6/23/2017	Has the Board Member/Designee	Yes
		Signed the Acknowledgement of	
		Fiduciary Duty?	- constant and the cons
Term Expiration Date	01/01/2018	Complied with Training	Yes
		Requirement of Section 2824?	
Title		Does the Board Member/Designee	No
		also Hold an Elected or Appointed	
		State Government Position?	Harris Garage
Has the Board Member Appointed a		Does the Board Member/Designee	No
Designee?		also Hold an Elected or Appointed	
		Municipal Government Position?	mayorimith/michinary (Arthur Market)
Designee Name		Ex-Officio	

Name	Gonzalez, Bethaida	Nominated By	Governor
Chair of the Board	No	Appointed By	Governor
If yes, Chair Designated by	subpriver (	Confirmed by Senate?	Yes
Term Start Date	6/7/2021	Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?	Yes
Term Expiration Date	01/23/2023	Complied with Training Requirement of Section 2824?	Yes
Title		Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?	No
Has the Board Member Appointed a Designee?		Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?	No
Designee Name		Ex-Officio	



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	Ex-Officio	THE PROPERTY OF THE PROPERTY O	Designee Name
NO	Joes the Board MemberiJesignee also Hold an Elected or Appointed Municipal Government Position?		Has the Board Member Appointed a Designee?
No	Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?		Title
No	Complied with Training Requirement of Section 2824?	Pleasure of Authority	Term Expiration Date
No	Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?	6/21/2022	Term Start Date
Yes	Confirmed by Senate?		If yes, Chair Designated by
Senate Majority	Appointed By	No	Chair of the Board
Senate Majority	Nominated By	McIntyre, James	Name
Yes	Ex-Officio	Christopher Curtis	Designee Name
No	Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?	Yes	Has the Board Member Appointed a Designee?
Yes	Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?	Acting Commissioner of Taxalion and Finance	Title
No	Complied with Training Requirement of Section 2824?	Ex-Officio	Term Expiration Date
Yes	Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?	6/7/2021	Term Start Date
N/A	Confirmed by Senate?	On the High Page 1	If yes, Chair Designa:ed by
Ex-Officio	Appointed By	No	Chair of the Board
Ex-Officio	Nominated By	Hiller, Amanda	Name



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Governor	Nominated By	Miller, Joyce L	Name
			MANAGEMENT OF THE PARTY OF THE
	Ex-Officio		Designee Name
	Municipal Government Position?		•
	also Hold an Elected or Appointed		Designee?
No	Does the Board Member/Designee		Has the Board Member Appointed a
	State Government Position?		
	also Hold an Elected or Appointed		
ő	Does the Board Member/Designee		Title
	Requirement of Section 2824?		•
No	Complied with Training	01/01/2022	Term Expiration Date
	Fiduciary Duty?		
	Signed the Acknowledgement of		
Yes	Has the Board Member/Designee	6/7/2021	Term Start Date
Yes	Confirmed by Senate?		If yes, Chair Designated by
Governor	Appointed By	No	Chair of the Board
GOVERNO	Nominated By	McKeown, Sadie	Name

	Ex-Officio	West of the second seco	Designee Name
- Cathornellon - Cath	Municipal Government Position?		•
	also Hold an Elected or Appointed		Designee?
No	Does the Board Member/Designee		Has the Board Member Appointed a
and the state of t	State Government Position?		
	also Hold an Elected or Appointed		
No	Does the Board Member/Designee		Title
- Marie Andrews - Marie Andrew	Requirement of Section 2824?		
Yes	Complied with Training	01/01/2015	Term Expiration Date
milyspephia.a.	Fiduciary Duty?		
	Signed the Acknowledgement of		
Yes	Has the Board Member/Designee	6/19/2013	Term Start Date
Yes	Confirmed by Senate?		If yes, Chair Designated by
Governor	Appointed By	No	Chair of the Board
Governor	Nominated By	Miller, Joyce L	Name
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	The Philipping and the Philippin		The state of the s
Name	Mujica, Robert	Nominated By	Ex-Officio Ex-Officio
Chair of the Board	No	Appointed By	Ex-Officio
If yes, Chair Designated by	To a design of the second of t	Confirmed by Senate?	N/A
Term Start Date	1/14/2016	Has the Board Member/Designee	Yes
		Signed the Acknowledgement of Fiduciary Duty?	
Term Expiration Date	Ex-Officio	Complied with Training	Yes
Title	Director, New York State Division of the Budget	Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?	Yes
Has the Board Member Appointed a Designee?	Yes	Does the Board MemberiDesignee also Hold an Elected or Appointed Municipal Government Position?	No
Designee Name	Jesse Olczak	Ex-Officio	Yes
	The second secon	en and a second of the second	
Name	Visnaukas, RuthAnne	Nominated By	Ex-Officio
Chair of the Board	No	Appointed By	Ex-Officio
If yes, Chair Designated by	The state of the s	Confirmed by Senate?	N/A
Term Start Date	2/17/2017	Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?	Yes
Term Expiration Date	Ex-Officio	Complied with Training Requirement of Section 2824?	Yes
Title	Commissioner	Does the Board Member:Designee also Hold an Elected or Appointed State Government Position?	Yes
Has the Board Member Appointed a Designee?	No	Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?	No
Designee Name		Ex-Officio	Yes



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Name	Title	Group	Department	าŧUnion	Bargaining Full Time Exempt Base	mpt Base	Actual Salary Overtime	Overtime	Performance Extra Pay Other	extra Pay	Other	Total	Individual If Yes, Is the	f Yes, is the
			Subsidiary	Name	Unit Name /Part Time	Annualized	Annualized Paid to the	Paid by	Bonus	•	Compensation	Compensation also paid by Payment made	also paid by F	<sup>3</sup> ayment made
						Salary	Individual	Authority			Allowances		another b	by State or
					-an-	1					Adjustments		entity to L	Local
													Perform the Government	Sovernment
													Work of	
										:			Authority	



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### Benefit Information

During the fiscal year, did the authority continue to pay for any of the above mentioned benefits for former staff or individuals affiliated with the Authority after those individuals left the Authority?

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#### **Board Members**

Contra mentació												· · · · · · · · · · · · · · · · · · ·		
Name	Title	Severance	Payment for Club		Use of	Personal	Auto	Transportation	tion Housing	Spousal /	Tuition	Multi-Year	of	Other
		Package	Unused	berships		Loans			Allowance	Dependent	Assistance	Employment these	hese	
					Credit Cards					Life			Benefits	
										Insurance				
Adams, Kenneth G	Board of												×	
	Directors													
Curtis, Christopher	Board of											×	_	
	Directors													
Gonzalez, Bethaida	Board of						****						×	
	Directors													
Hiller, Amanda	Board of												×	
	Directors													
McIntyre, James	Board of												×	
	Directors													
McKeown, Sadie	Board of												×	
	Directors													
Miller, Joyce L	Board of												×	
	Directors													
Mujica, Robert	Board of									******			×	
	Directors													
Olczak, Jesse	Board of												_	
	Directors						- Annual Control of the Control of t							
Visnaukas,	Board of												×	
RuthAnne	Directors													
	***************************************													

#### Staff

	Name
	16
	Title
	Severance Package
	Payment Club for Unused Memb Leave
	Club Memberships
	Payment Club Use of for Unused Memberships Corporate Leave Credit Cards
	Personal Auto Loans
	Auto
	Transportation
	Allowance Depende
	Housing Spousal / Tuition Allowance Dependent Life Assistance
	Tuition Assistance
	100 TO
***************************************	Multi-Year None of Other Employmentthese Benefits
	Other



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# Subsidiary/Component Unit Verification

Name of Subsidiary/Component Unit		Status	
Homeless Housing Assistance Corporation	The state of the s	ACTIVE	The second secon
Housing Trust Fund Corporation	and the second s	ACTIVE	- Company (
New York State Affordable Housing Corporation		ACTIVE	
D. L. S. L. S. L. S. C. L. S.			
Request Subsidiary/Component Unit Change			
Name of Subsidiary/Component Unit	Status	***************************************	Requested Changes
Request Add Subsidiaries/Component Units			
Name of Subsidiary/Component Unit	Establishment Date	a market distributed and a second sec	Purpose of Subsidiary/Component Unit
Request Delete Subsidiaries/Component Units			
Name of Subsidiary/Component Unit Ter	Termination Date	Reason for Termination	Proof of Termination Document Name

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#### Current Debt

Ougetion		Response
4 600	- t	Yes
	CIV (1811) (1812) (1813) CARROLLING CONTROLLING CONTRO	X-
3	If yes, has the Authority issued any debt during the reporting period?	Yes
	(1) Control (Control	

### New Debt Issuances

Type of Debt: Authority Debt - Revenue

Program:

			. <sub>&gt;</sub>	 د		: ≥	 2	<u> </u>	. ≥		ζ.	. w :	[ ≥		P	:
- Allert Williams	N	BONDS, 2021 SERIES	AFFORDABLE	<del></del>	BONDS, 2021 SERIES	AFFORDABLE	 	BONDS, 2021 SERIES	AFFORDABLE			BONDS, 2021 SERIES	AFFORDABLE		Project	•
	Total \$3	New \$	Refunding \$0.00	Total \$	New \$	Refunding \$0.00	Total \$	New \$	Refunding \$0.00		Total \$2	New \$3	Refunding \$0.00		Amounts	
	\$38,570,000.00	\$38,570,000.00	0.00	\$122,805,000.00	\$122,805,000.00	0.00	\$12,105,000.00	\$12,105,000.00	0.00		\$256,985,000.00	\$256,985,000.00	0.00			
		٨	64987JSE		2	64987JR		Ţ	64987JS			9	64987JR C7	Number	CUSIP	
			12/16/2021			12/16/2021			12/16/2021				12/16/2021	Date	Bond Closing	
		Į,	Tax		( ) ( ) ( ) ( ) ( ) ( ) ( ) ( ) ( ) ( )	Tax		1	Tax			7	Tax	Status	Taxable	
- Addition of the second of th			Negotiated			Negotiated			Competitive				Negotiated	Process	Issue	
			2.15%		·	2.15%			2.15%				2.15%	Cost	True	
A. C. Williams			Fixed			Fixed			Fixed				Fixed	Type	Interest	
			ဌဌ			40			35				40	(115.)	Term	7
			\$0.00			\$12,770,3 83.10			\$0.00				\$0.00	Issualice	Cost Of	
			21-HF-863,869		0,871,873	21-HF- 864.867.868.87			21-HF-863,869	THAT THE PARTY OF		0,871,873	21-HF- 864,867,868,87	The state of the s	PACB_Project	The state of the s
and the state of t			https://bonds.hcr.ny. gov/nyshfa/i4831		,	gov/nyshfa/i4831			https://bonds.hcr.ny.			,	https://bonds.hcr.ny.		URL	

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AFFORDABLE HOUSING REVENUE BONDS, 2022 SERIES E2	AFFORDABLE HOUSING REVENUE BONDS, 2022 SERIES E1	AFFORDABLE HOUSING REVENUE BONDS, 2022 SERIES D2	AFFORDABLE HOUSING REVENUE BONDS, 2022 SERIES B	AFFORDABLE HOUSING REVENUE BONDS, 2022 SERIES A	AFFORDABLE HOUSING REVENUE BONDS, 2022 SERIES C	Project
Refunding \$0.00  NUE	NUE Refunding \$0.00 IRIES New \$24,785,000.00 Total \$24,795,000.00	Refunding \$0.00  NUE  New \$260,710,000.00  Total \$260,710,000.00	NUE Refunding \$0.00 RIES New \$111,910,000.00 Total \$111,910,000.00	Refunding \$0.00  NEW \$208,475,000.00  Total \$208,475,000.00	NUE   Refunding \$0.00   New   \$17,845,000.00     Total   \$17,845,000.00	Amounts
64987JW E7	64987JW D9	64987JV N8	64987JU Q2	64987JTN 1	64987jsh5	CUSIP
6/16/2022	6/16/2022	6/16/2022	3/31/2022	3/31/2022	3/31/2022	Bond Closing Date
Tax Exempt	Tax Exempt	Tax Exempt	Tax Exempt	Tax Exempt	Tax Exempt	Taxable Status
Negotiated	Negotiated	Negotiated	Negotiated	Negotiated	Negotiated	Issue Process
3.65%	3,65%	3.65%	3.26%	3.59%	3.3%	True Interest Cost
Fixed	Fixed	Fixed	Fixed	Fixed	Fixed	Interest Type
40	ယ္	40	38	38	S.	Term (Yrs.)
\$0.00	\$0.00	\$0.00	\$0.00	\$10,511,7 76.00	\$0.00	Cost Of Issuance
22-HF- 861,879,883,88 2	22-HF- 861,879,883,88 2		21-HF-8/2,8/5; 22-HF-881,882	21-HF-861,866; 22-HF- 880,878,884	22-HF-880	PACB_Project
https://bonds.hcr.ny. gov/nyshfa/14831	https://bonds.hcr.ny. gov/nyshfa/i4831	https://bonds.hcr.ny. gov/nyshfa/l4831	https://bonds.hcr.ny. gov/nyshfa/l4831	https://bonds.hcr.ny. gov/nyshfa/14831	https://bonds.hcr.ny. gov/nyshfa/i4831	URL



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Project	Amounts	CUSIP Number	Bond Closing Date	Taxable Status	Issue Process	True Interest Cost	Interest Type	Term (Yrs.)	Term   Cost Of (Yrs.)   Issuance	PACB_Project URL	URL
AFFORDABLE	Refunding \$0.00	64987JV	6/16/2022	Tax	Negotiated	3.65%	Fixed	35	\$14,581,6	22-HF-	https://bonds.hcr.ny.
BONDS, 2022 SERIES	New \$86,770,000.00	- MO		Exempt					00,00	0,891,892,894	0,891,892,894
D1											
	Total \$86,770,000.00		*								
		-							_		
AFFORDABLE	Refunding \$0,00	64987JX	10/19/2022	Tax	Negotiated	4.63%	Fixed	40	\$4,485,64 3,60	22-HF-   753.899.900.90   gov/nvshfa/i4831	https://bonds.ncr.ny.
BONDS, 2022 SERIES	New \$138,295,000.00			i de				•		2,903	,
F1 & F2	Total \$138,295,000.00										
											***************************************

Type of Debt: Conduit Debt

Program:

Halletts Points, 2017 Refur Series B New	500 Main Street MF Refur Mortgage-Backed Bonds, 2021 Series B New	500 Main Street MF Refur Mortgage-Backed Bonds, 2021 Series A New	Project An
Refunding \$0.00  New \$500,545.00  Total \$500.545.00	Refunding \$0.00  New \$171.210,000.00  Total \$171,210,000.00	Refunding \$0.00  New \$28,790,000.00  Total \$28,790,000.00	Amounts
	64986НА 32	64986HA A4	CUSIP
10/31/2022	12/22/2021	12/22/2021	Bond Closing Date
Taxable	Taxable	Tax Exempt	Taxable Status
Negotiated	Negotiated	Negotiated	Issue Process
3.83%	2.67%	2.67%	True Interest Cost
Variable	Fixed	Fixed	Interest Type
34	20	20	Term (Yrs.)
\$0.00	\$11,014,0 02,73	\$0.00	Cost Of Issuance
17-HF-679	21-HF-842	21-HF-842	PACB_Project
	https://bonds.hcr.ny. gov/nyshfa/i4831	https://bonds.hcr.ny. gov/nyshfa/i4831	URL

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ertified	Tatus:
Date:N/A	CNCOR

LeCount Place Apartments Debt Obligation         Refunding \$0.00         10/31/2022         Tax Exempt         Negotiated Exempt         5.52%         Variable         14         \$0.00         19-HF-797	Project	Amounts	CUSIP Number	Bond Closing Date	Taxable Status	Issue Process	**	Interest Type	Term (Yrs.)	Term Cost Of (Yrs.) Issuance	PACB_Project URL
New \$16,881,215.00 Total \$16,881,215.00	LeCount Place	Refunding \$0.00		10/31/2022	Tax	Negotiated	5.52%	Variable	14	\$0.00	19-HF-797
	Apariments Debt			•	[\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\		*****				
											****

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# Schedule of Authority Debt

							ICIALS
7.5	859,337,910.00	1,587,416,760.00	0 17,304,380,000.00	0.00			
					Conduit Debt - Pilot Increment Financing		Conduit
Ĭ	321,381,936,00	217,381,760.00	0 12,068,915,000.00	0.00	Conduit Debt		Conduit
2					And the state of t	Authority Debt - Other	Authority Debt - Other
					- Additive	Revenue	
,00,	337,755,874.00	1,3/0,035,000.00	0 5,235,465,000.00	0.00		Authority Debt -	Authority Debt - Revenue
						General Obligation	Obligation
						Authority Debt -	Authority Debt - General
	The state of the s	and the same of th			NAMES OF THE PARTY	Other State-Funded	Other State-Funded
						State Moral Obligation	State Obligation
						Obligation	
				***		State Contingent	State Obligation
	- Line 4			N. Antonio		State Supported	State Obligation
						State Guaranteed	State Obligation
				Amount (\$)	The state of the s		•
ed	Amount Reti	New Debt Issuance (\$)	Begin Amount Total (\$) New Debt Issuance (\$) Amount Retired (\$) End Amount Total (\$)	Statutory Authorization		- describing a	Type of Debt
1		Manual					



Fiscal Year Ending: 10/31/2022

Real Property Acquisition/Disposal List

Run Date: 01/20/2023
Status: UNSUBMITTED
Certified Date:N/A

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.



Fiscal Year Ending: '0/31/2022

Personal Property Disposal

This Authority has indicated that it had no personal property disposals during the reporting period.



Fiscal Year Ending: 10/31/2022

Run Date: 01/20/2023
Status: UNSUBMITTED
Certified Date:N/A

Oriection	The second secon	Response	URL (If Applicable)
	In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the	Yes	https://hcr.ny.gov/governance
	Authority. Has this report been prepared?		
5	Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for Yes	Yes	https://hcr.ny.gov/governance
	the acquisition and disposal of property?		- Limited
ယ	In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's Yes	Yes	N/A
	comp. ance with and enforcement of such guidelines?		

## Additional Comments



Fiscal Year Ending: 10'31/2022

Run Date: 01/20/2023 Status: UNSUBMITTED Certified Date:N/A

# Governance Information (Authority-Related)

Question	$\perp$	Response	URL (if Applicable)
1.	Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of FAL?	by section	ă
2.	As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	ntrols?	ntrols? Yes
က	Has the Authority named an internal control officer in accordance with section 2931 of PAL?		Yes
4.	Please enter the number of staff assigned the internal control function.		3
IJ.	Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	2802(4) of	2802(4) of Yes
<u></u> .	Does the independent auditor provide non-audit services to the Authority?		No
7.	Does the Authority have an organization chart?		No
8.	Are any Authority staff also employed by another government agency?		Yes
9.	Has the Authority posted their mission statement to their website?		Yes
10.	Has the Authority's mission statement been revised and adopted during the reporting period?		Yes
11.	Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		
12.	Has the Authority adopted a lobbying policy in accordance with section 2987 of PAL?		Yes
	Lobbying officer name		Alejandro J. Valella, VP and Deputy Counsel
13.	Has the Authority maintained a record of all lobbying contacts during the reporting period, as required by section 2987 of PAL?	2987 of PAL?	2987 of PAL? Yes
j		C C C C C C C C C C C C C C C C C C C	-



Fiscal Year Ending: 10:31/2022

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Govern	Governance Information (Board-Related)		
Question	ion	Response	URL (if Applicable)
	Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
?	Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3	Has the Board established a Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4.	Provide a JRL link where a list of Board committees can be found (including the name of the committee and the date established):		https://hcr.ny.gov/governance
Ċī	Does the majority of the Board meet the independence recuirements of Section 2825(2) of PAL?	Yes	N/A
ტ	Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		https://hcr.ny.gov/governance
7.	Has the Board adopted bylaws and made them available to Board members and staff?	Yes	https://hcr.ny.gov/governance
.00	Has the Board adopted a code of ethics for Board members and staff?	Yes	https://hcr.ny.gov/governance
9.	Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	NA
10.	Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11.	Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		- Annihitation in the contract of the contract
	Salary and Compensation	Yes	N/A
	Time and Attendance	Yes	N/A
	Whistlebawer Protection	Yes	N/A
	Defense and Indemnification of Board Members	Yes	N/A
12.	Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824 (5) of PAL?	Yes	NA
13.	Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	NA
14.	Was a pe formance evaluation of the board completed?	Yes	NA
15.	Was compensation paid by the Authority made in accordance with employee or union contracts?	Yes	NA
16.	Has the board adopted a conditional/additional compensation policy governing all employees?	No	



Fiscal Year Ending: 10/31/2022

Run Date: 01/20/2023
Status: UNSUBMITTED
Certified Date:N/A

## Board of Directors Listing

, landigation in	Ex-Officio		Designee Name
remaint from	Municipal Government Position?		And distilled the second secon
	also Hold an Elected or Appointed		Designee?
No	Does the Board Member/Designee		Has the Board Member Appointed a
- champing	State Government Position?		
	also Hold an Elected or Appointed		
No	Does the Board Member/Designee		Title
- Additional - Add	Requirement of Section 2824?		,
Yes	Complied with Training	01/01/2019	Term Expiration Date
- Principle of the Control of the Co	Fiduciary Duty?		
	Signed the Acknowledgement of		
Yes	Has the Board Member/Designee	6/23/2017	Term Start Date
Yes	Confirmed by Senate?	Governor	If yes, Chair Designated by
Governor	Appointed By	Yes	Chair of the Board
Governor	Nominated By	Adams, Kenneth G	Name

Name	Ford, Wallace	Nominated By	Senate Majority
Chair of the Board	No	Appointed By	Senate Majority
If yes, Chair Design≆ed by	The state of the s	Confirmed by Senate?	Yes
Term Start Date	9/9/2022	Has the Board Member/Designee	Yes
		Signed the Acknowledgement of	
		Fiduciary Duty?	· · · · · · · · · · · · · · · · · · ·
Term Expiration Date	Pleasure of Authority	Complied with Training	No.
•		Requirement of Section 2824?	
Title		Does the Board Member/Designee	No
		also Hold an Elected or Appointed	
		State Government Position?	
Has the Board Member Appointed a	And the second s	Does the Board Member/Designee	8
Designee?		also Hold an Elected or Appointed	
•		Municipal Government Position?	The state of the s
Designee Name	•	Ex-Officio	
	Action and the second	The state of the s	



Fiscal Year Ending: 10/31/2022

Name	Freeman, E J	Nominated By	Assembly Majority
Chair of the Board	NO	Appointed By	Assembly Majority
If yes, Chair Designated by	The second secon	Confirmed by Senate?	Yes
Term Start Date	8/9/2022	Has the Board Member/Designee	No
		Signed the Acknowledgement of	
		Fiduciary Duty?	HARAGE.
Term Expiration Date	Pieasure of Authority	Complied with Training	No
		Requirement of Section 2824?	
Title		Does the Board Member/Designee	No
		also Hold an Elected or Appointed	
		State Government Position?	And the state of t
Has the Board Member Appointed a	Acceptance of the second of th	Does the Board Member/Designee	No.
Designee?		also Hold an Elected or Appointed	
		Municipal Government Position?	
Designee Name	and controlled the co	Ex-Officio	

Name	Gonzalez, Bethaida	Nominated By	Governor
Chair of the Board	No	Appointed By	Governor
If yes, Chair Designated by	t-minutes or a state of the sta	Confirmed by Senate?	Yes
Term Start Date	6/15/2015	Has the Board Member/Designee	Yes
		Signed the Acknowledgement of Fiduciary Duty?	
Term Expiration Date	01/01/2018	Complied with Training	Yes
		Requirement of Section 2824?	The state of
Title		Does the Board Member/Designee	No
		also Hold an Elected or Appointed	
		State Government Position?	The control of the co
Has the Board Member Appointed a	The state of the s	Does the Board Member/Designee	No
Designee?		also Hold an Elected or Appointed	
		Municipal Government Position?	and the state of t
Designee Name		Ex-Officio	manage of the state of the stat
	2. — modelinistee de la companya del la companya de		



Fiscal Year Ending: 10/31/2022

Name	Kapell, David E	Nominated By	Comptroller
Chair of the Board	20	Appointed By	Comptroller
If yes, Chair Designaced by	- MANAGERY	Confirmed by Senate?	N/A
Term Start Date	2/2/2017	Has the Board Member/Designee Signed the Acknowledgement of	Yes
		Fiduciary Duty?	- Children
Term Expiration Date	Pleasure of Authority	Complied with Training  Requirement of Section 28242	Yes
THE PARTY OF THE P			Voc
- Inde		also Hold an Elected or Annointed	
	The same of the sa	State Government Position?	- And Annual Control of the Control
Has the Board Member Appointed a		Does the Board Member/Designee	No
Designee?		also Hold an Elected or Appointed	
•		Municipal Government Position?	material control of the control of t
Designee Name	- PANAMATANA	Ex-Officio	

			THE PROPERTY OF THE PROPERTY O
Name	Miller, Joyce L	Nominated By	Governor
Chair of the Board	No	Appointed By	Governor
If yes, Chair Designated by	en en establishe	Confirmed by Senate?	Yes
Term Start Date	6/21/2016	Has the Board Member/Designee	Yes
		Signed the Acknowledgement of	
		Fiduciary Duty?	Transferring that the analysis and the state of the state
Term Expiration Date	01/01/2019	Complied with Training	Yes
•		Requirement of Section 2824?	
Title	Account of the state of the sta	Does the Board Member/Designee	No
1		also Hold an Elected or Appointed	
		State Government Position?	- reducional Anna Control and
Has the Board Member Appointed a	- AND STATE OF THE	Does the Board Member/Designee	No
Designee?		also Hold an Elected or Appointed	
•		Municipal Government Position?	- And Andrews -
Designee Name		Ex-Officio	i de la companya de l
	TOTAL CONTRACT CONTRA		



Fiscal Year Ending: 16/31/2022

Name	Mujica, Robert	Nominated By	Ex-Officio
Chair of the Board	No	Appointed By	Ex-Officio
If yes, Chair Designated by	error bladd dww.q.u.	Confirmed by Senate?	N/A
Term Start Date	1/14/2016	Has the Board Member/Designee	Yes
		Signed the Acknowledgement of	
		Fiduciary Duty?	
Term Expiration Date	Ex-Officio	Complied with Training	Yes
-		Requirement of Section 2824?	And delice and the second seco
Title	Director, New York State Divison of the Budget	Does the Board Member/Designee	Yes
		also Hold an Elected or Appointed	
		State Government Position?	e projektiva a mananakkilinga mananakkilinga mananakkilinga mananakkilinga mananakkilinga mananakkilinga mananak
Has the Board Member Appointed a	Yes	Does the Board Member/Designee	No
Designee?		also Hold an Elected or Appointed	
(		Municipal Government Position?	· Parket Market Andrews Andrew
Designee Name	Jesse Olczak	Ex-Officio	Yes

inted		Docionos Namo
inted	Municipal Government Positio	
	also Hold an Elected or Appoil	Designee?
OT BE	Does the Board Member/Designee	Has the Board Member Appointed a
- Attoutive - Atto	State Government Position?	
inted	atso Hold an Elected or Appoin	
gnee	Does the Board Member/Designee	Title
2 Valantification and the state of the state	Requirement of Section 2824?	
	Complied with Training	Term Expiration Date
	Fiduciary Duty?	
r of	Signed the Acknowledgement	
gnee	Has the Board Member/Design	Term Start Date
and designated and de	Confirmed by Senate?	If yes, Chair Designated by
Governor	Appointed By	Chair of the Board
Governor	viacant Nominated By	Name



Fiscal Year Ending: 10/31/2022

Name	Visnauskas, RuthAnne	Nominated By	Ex-Officio
Chair of the Board	20	Appointed By	Ex-Officio
If the Chairman of the	and the state of t	Confirmed by Senate?	NA
II yes, Citali Designaced by		The state of the s	
Term Start Date	2/2/2017	Has the Board Member/Designee	Yes
		Signed the Acknowledgement of	
		Fiduciary Duty?	
Term Expiration Date	Ex-Officio	Complied with Training	Yes
		Requirement of Section 2824?	
Title	Commissioner	Does the Board Member/Designee	Yes
		also Hold an Elected or Appointed	
		State Government Position?	The second secon
Has the Board Member Appointed a	No	Does the Board Member/Designee	No
Designee?		also Hold an Elected or Appointed	
6		Municipal Government Position?	i the thirties and the test of
Designee Name	tudentes established	Ex-Officio	Yes

Fiscal Year Ending: 10/31/2022

Staff Listing															
Name	Title	Group	DepartmentUnion	Bargaining Full Time Exempt Base	Full Time	xempt B		Actual Salary Overtime	Overtime	Performance Extra Pay Other	Extra Pay	Other	Total	Individual If Yes, Is the	f Yes, Is the
		-	/Subsidiary Name	Unit Name /Part Time	Part Time	<u>.</u>	alized	Annualized Paid to the	Paid by	Bonus		Compensation	Compensation also paid by Payment made	also paid by F	<sup>3</sup> ayment made
		·n				<u>s</u>	Salary		Authority			/Allowances	·	another b	by State or
							•		,			/Adjustments		entity to L	Local
														Perform the Government	3overnment
													····	Work of	
														Authority	

Fiscal Year Ending: 10/31/2022

Run Date: 01/20/2023 Status: UNSUBMITTED Certified Date:N/A

### Benefit Information

During the fiscal year, 3id the authority continue to pay for any of the above mentioned benefits for former staff or individuals affiliated with the Authority after those individuals left the Authority?

o o

Adams, Kenneth G   Soard of Cirectors   Soard of	Wallace Directors  Wallace Directors  Title  Severance  Payment Club  Directors  Corporate  Leave  Directif Cards  Coredit Cards  Directors  Credit Cards	Name	Ttle
Cirectors  Wallace Board of Board of Cirectors  man, E J Cirectors  alez, Bethaida Board of Cirectors  II, David E Board of Cirectors  A, Joyce L Board of Cirectors  a, Robert Cirectors  Expand of Cirectors  A, Joyce L Board of Cirectors  Expand of Cirectors  Board of Cirectors  II, David E Board of Cirectors  A, Joyce L Board of Cirectors  Expand of Cirectors  Title Board of Cirectors  Title Severance Payment Club Use of Personal Auto Credit Cards  Leave Credit Cards  Auto  Credit Cards  Auto	Wallace Baard of Directors  nan, E J Baard of Chrectors  alez, Bethalda Board of Chrectors  II, David E Board of Chrectors  II, David E Board of Chrectors  A, Joyce L Board of Chrectors  Chrectors  IX, Jesse Board of Chrectors  IX, Jese Board of Chrectors  IX, Jesse Board of	Adams, Kenneth G	Board of
Wallace Board of Directors Circular Corporate Corporate Cons Circular Circu	Wallace Board of Cirectors  man, E J Board of Cirectors  alez, Bethaida Board of Cirectors  II, David E Board of Cirectors  a, Abyce L Board of Cirectors  a, Robert Board of Cirectors  k, Jesse Board of Cirectors  Title Severance Payment Club Use of Personal Auto Credit Cards  Title Package for Unused Memberships Corporate Loans  Leave Credit Cards		Directors
Cirectors  man, E J  Directors  Directors  Exact of  Directors  Exact of  Directors  II, David E  Doard of  Cirectors  A, Joyce L  Doard of  Cirectors  Exact of  Cirectors  Exact of  Cirectors  Exact of  Cirectors  A, Jesse  Board of  Cirectors  Exact of  Cirectors  Ak, Jesse  Board of  Cirectors  Exact of  Cirectors  Ak, Jesse  Board of  Cirectors  Exact of  Cirectors  Ak, Jesse  Board of  Cirectors  Exact of  Cirectors  Exact of  Cirectors  Exact of  Cirectors  Action  Cirectors  Exact of  Cirectors  Cirectors  Exact of  Cirectors  Cirectors  Exact of  Cirectors  Cirectors  Exact of  Cirectors  Cirectors  Cirectors  Exact of  Cirectors  Cirectors  Exact of  Cirectors  Cirectors  Exact of  Cirectors  Cirectors  Cirectors  Exact of  Cirectors  Cirec	Cirectors  alez, Bethaida Board of Cirectors  II, David E Board of Cirectors  III Board of Club Corporate Coans	Ford, Wallace	Board of
man, E J Board of Cirectors Cirectors Suskas,	man, E J Board of Cirectors Cirectors II, David E Board of Cirectors III, David E Board of Cirectors III, David E Board of Cirectors III, David E Board of Cirectors IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII		Directors
Expert of Example Circular Severance Fayment Club Memberships Corporate Loans    Circular Cores   Circular Cores   Circular Cores   Circular Cores   Circular Cores   Circular Cores   Circular	alez, Bethaida Baard of Cirectors  II, David E Board of Cirectors  Joyce L Board of Cirectors  a, Robert Board of Cirectors  bard of Cirectors  Title Severance Payment Club Memberships Corporate Loans  Fritte Package Leave Credit Cards  Credit Cards  Credit Cards	Freeman, E J	Board of
alez, Bethaida Board of Cirectors  II, David E Board of Cirectors  Joyce L Board of Cirectors  a, Robert Corectors  board of Cirectors  K, Jesse Board of Cirectors  K, Jesse Board of Cirectors  Board of Cirectors  Title Severance Payment Club Use of Credit Cards  Leave Credit Cards  Credit Cards	alez, Bethaida Board of Cirectors Cirectors  II, David E Board of Cirectors  Joyce L Board of Cirectors  a, Robert Cirectors  Board of Cirectors  Nt, Jesse Board of Cirectors  Title Severance Payment Club Wemberships Corporate Loans  Leave Credit Cards  Credit Cards		Directors
Cirectors  II, David E Board of Cirectors  A Robert Board of Cirectors  A Robert Cirectors  Board of Cirectors  A Robert Cirectors  Board of Cirectors  Board of Cirectors  Title Severance Payment Club Use of Personal Auto Credit Cards  Leave Credit Cards	Cirectors  II, David E Examt of Cirectors  Joyce L Board of Cirectors  a, Robert Corectors  Board of Cirectors  It Cirectors  Title Severance Payment Club Wemberships Corporate Loans  Leave Credit Cards  Credit Cards	Gonzalez, Bethaida	Epard of
II, David E Board of Cirectors Cirectors  a, Robert Board of Cirectors Cirectors Cirectors Kk, Jesse Board of Cirectors Cirectors Cirectors Fitte Severance Payment Club Use of Personal Auto Cirectors Cirect	II, David E Board of Cirectors Cirectors  a, Joyce L Board of Cirectors  a, Robert Board of Cirectors  ik, Jesse Board of Cirectors  ik, Jesse Board of Cirectors  ik David E Board of Cirectors  ik David Of Cirectors		Directors
Cirectors  a, Robert Board of Cirectors  k, Jesse Board of Cirectors  nt Board of Cirectors  Cirectors  Title Severance Payment Club Use of Personal Auto Credit Cards  Leave Credit Cards	Directors  Directors  Directors  Eirectors  A, Robert Board of Cirectors  Board of Cirectors  Cirectors  Board of Cirectors  Cirectors  Title Severance Payment Club Use of Leave  Credit Cards  Credit Cards  Credit Cards	Kapell, David E	Exard of
A Robert Cirectors  a, Robert Cirectors  board of Cirectors  k, Jesse Board of Cirectors  Cirectors	A Robert Exerctors  a, Robert Exerctors  a, Robert Cirectors  k, Jesse Board of Cirectors  nt Corectors  Soard of Cirectors  Title Severance Payment Club Use of Leave Credit Cards  Leave Credit Cards  Credit Cards		Directors
A Robert Board of Cirectors  Sk, Jesse Board of Cirectors  Roard of Cirectors  Roard of Cirectors  Ruskas, Board of Cirectors  Ruskas, Cirectors  Rus	Cirectors  a, Robert Cirectors  Cirectors  Board of Cirectors  Cirectors  Cirectors  Cirectors  Cirectors  Title Severance Payment Club Use of Personal Auto Package Leave Credit Cards  Credit Cards	Miller, Joyce L	Board of
a, Robert Board of Cirectors  k, Jesse Board of Cirectors  nt Corectors  Board of Cirectors  Title Severance Payment Club Use of Personal Auto Leave Credit Cards  Credit Cards	a, Robert Board of Cirectors  K, Jesse Board of Cirectors  Int Cirectors  Interest Severance Fayment Club Use of Personal Auto Credit Cards  Package Leave Credit Cards  Credit Cards		Lirectors
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ik, Jesse Board of Cirectors  It Board of Severance Payment Club Use of Personal Auto Package For Unused Memberships Corporate Loans  Credit Cards	nt Cirectors  nt Cirectors  Roard of Cirectors  Title Severance Payment Club Use of Leave Credit Cards  Leave Credit Cards  Credit Cards	,	Cirectors
Cirectors  Int Expand of Experience Payment Club Use of Personal Auto  Fitte Package For Unused Memberships Corporate Loans  Cirectors Credit Cards	Cirectors  It Board of Cirectors  Soard of Cirectors  Cirectors  Title Severance Payment Club Use of Corporate Loans Leave Credit Cards	Olczak, Jesse	Board of
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Cirectors  Board of Inne Cirectors  Fitte Cirectors  Corporate  Loans  Credit Cards	Uskas, Board of Board of Cirectors Club Use of Personal Auto Title Severance Payment Club Wemberships Corporate Loans Leave Credit Cards	Vacant	Board of
nne Cirectors  Title Severance Payment Club Use of Personal Auto Package for Unused Memberships Corporate Loans Leave Credit Cards	uskas, Board of Cirectors  Title Severance Payment Club Use of Personal Auto Package for Unused Memberships Corporate Loans Credit Cards		Directors
nne Cirectors  Title Severance Payment Club Use of Personal Auto Fackage for Unused Memberships Corporate Loans Leave Credit Cards	nne Cirectors  Title Severance Payment Club Use of Personal Auto Package for Unused Memberships Corporate Loans Leave Credit Cards	Visnauskas,	Board of
Title Severance Payment Club Use of Personal Auto Package for Unused Memberships Corporate Loans Leave Credit Cards	Title Severance Payment Club Use of Personal Auto Package for Unused Memberships Corporate Loans Leave Credit Cards	RuthAnne	Cirectors
Title Severance Payment Club Use of Personal Auto Package for Unused Memberships Corporate Loans Leave Credit Cards	Title Severance Payment Club Use of Personal Auto Package for Unused Memberships Corporate Loans Leave Credit Cards	Staff	
	And the second s	Name	Title



Fiscal Year Ending: 10/31/2022

Run Date: 01/20/2023
Status: UNSUBMITTED
Certified Date:N/A

# Subsidiary/Component Unit Verification

			THE PROPERTY OF THE PROPERTY O
Name of Subsidiary/Component Unit		Status	
State of New York Mortgage Agency Community Restoration Fund	oration Fund	ACTIVE	
		- the first section	
Request Subsidiary/Component Unit Change			
Name of Subsidiary/Component Unit	Status	The state of the s	Requested Changes
- Andrews			
Request Add Subsidiaries/Component Units			
Name of Subsidiary/Component Unit	Establishment Date		Purpose of Subsidiary/Component Unit
Request Delete Subsidiaries/Component Units	- distributed by		
Name of Subsidiary/Component Unit	Termination Date	Reason for Termination	Proof of Termination Document Name
transfer to the second			**************************************

Fiscal Year Ending: "0/31/2022

Run Date: 01/20/2023 Status: UNSUBMITTED Certified Date:N/A

#### **Current Debt**

Question     Response       1.     Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?     Yes       2.     If yes has the Authority issued any debt during the reporting period?     Yes
--

### New Debt Issuances

Type of Debt: Authority Debt - Revenue

Program:

Project	Homeowners Mortgage Revenue Bonds, Series 239		Homeowners Mortgage Revenue		Homeowners Mortgage Revenue Bonds, Series 240	Homeowners Mortgage Revenue Bonds, Series 243
Amounts	Refunding \$19,819,490.09 New \$179,690,509.91	Total \$199,510,000.00	Refunding \$0.00	Total \$25,000,000,00	Refunding \$23,760,000.00  New \$0.00  Total \$23,760,000.00	Refunding \$16,895,000.00  New \$0.00  Total \$16,895,000.00
CUSIP Number	64988YM F1		64988YN A1	- Jahner	64988YL U9	64988YP T8
Bond Closing Date	11/18/2021		11/18/2021		11/18/2021	3/9/2022
Taxable Status	Tax Exempt		Taxable		Alternative Minimum Tax Exempt	Alternative Minimum Tax Exempt
Issue Process	Negotiated		Negotiated		Negotiated	Negotiated
True Interest Cost	2.55%		2.77%		1.21%	2.07%
Interest Type	Fixed		Variable		Fixed	Fixed
Term (Yrs.)	12	,	12		25	ഗ
Cost Of Issuance	\$8,274,17 0.00		\$0.00		\$0.00	\$0.00
1 1	21-SNYMA-120		21-SNYMA-120		21-SNYMA-120	21-SNYMA-120
URL	https://bonds.hcr.ny. gov/sonyma/i4837		https://bonds.hcr.ny. gov/sonyma/i4837		https://bonds.hcr.ny. gov/sonyma/i4837	https://bonds.hcr.ny. gov/sonyma/i4837

Fiscal Year Ending: 10/31/2022

Homeowners Mortgage Revenue Bonds, Series 247	Homeowners Mortgage Revenue Bonds, Series 246	Homeowners Mortgage Revenue Bonds, Series 249	Homeowners Mortgage Revenue Bonds, Series 242	Homeowners Mortgage Revenue Bonds, Series 245	Homeowners Mortgage Revenue Bonds, Series 244	Project
Refunding \$0.00  New \$79,035,000.00  Total \$79,035,000.00	Refunding \$10,134,547.15  New \$66,895,452.85  Total \$77,030,000.00	Refunding \$18,310,000.00  New \$0.00  Total \$18,310,000.00	Refunding \$70,754,949.23  New \$44,235,050.77  Total \$114,990,000.00	Refunding \$45,210,000.00  New \$0.00  Total \$45,210,000.00	Refunding \$38,600,000.00  New \$0.00  Total \$38,600,000.00	Amounts
64988YQ Y6	64988YR A7	64988YS B4	64988YP H4	64988YQ U4	64988YQ J9	CUSIP
9/15/2022	9/15/2022	9/15/2022	3/9/2022	3/9/2022	3/9/2022	Bond Closing Date
Tax Exempt	Tax Exempt	Alternative Minimum Tax Exempt	Tax Exempt	Taxable	Tax Exempt	Taxable Status
Negotiated	Negotiated	Negotiated	Negotiated	Negotiated	Negotiated	Issue Process
2.93%	4.42%	2.44%	3.2%	2,5%	2.72%	True Interest Cost
Variable	Fixed	Variable	Fixed	Fixed	Fixed	Interest Type
30	12	9	30	5	13	Term (Yrs.)
\$386,636. 56	\$856,896. 68	\$80,536.7 9	\$3,523,76 9.50	\$0.00	\$0.00	Cost Of Issuance
22-SNYMA-121	22-SNYMA-121	22-SNYMA-121	21-SNYMA-120	21-SNYMA-120	21-SNYMA-120	PACB_Project
https://bonds.hcr.ny. gov/sonyma/i4837	https://bonds.hcr.ny. gov/sonyma/i4837	https://bonds.hcr.ny. gov/sonyma/i4837	https://bonds.hcr.ny. gov/sonyma/i4837	https://bonds.hcr.ny. gov/sonyma/i4837	https://bonds.hcr.ny. gov/sonyma/i4837	URL



Fiscal Year Ending: \*0/31/2022

Run Date: 01/20/2023 Status: UNSUBMITTED Certified Date:N/A

		Bonds, Series 248	Mortgage Revenue	Homeowners		1	Project
	Total \$17 290 000 00	New \$0.00		Refunding \$17,290,000.00			Amounts
			8	64988YR		Number	CUSIP
				64988YR 9/15/2022		Date	Bond Closing Taxable
	Exempt	Tax	Minimum	Alternative		Status	Taxable
de un relation				Negotiated		Process	Issue
A CONTRACTOR OF THE CONTRACTOR				3.96%	Cost	Interest	True
				Fixed		Type	Interest
				1		(Yrs.)	Term
				\$0.00		(Yrs.) Issuance	Cost Of
'v m fastwetter to				22-SNYMA-121			Term   Cost Of   PACB_Project   URL
			gov/sonyma/i4837	22-SNYMA-121 https://bonds.hcr.ny.		_	URL

i



Fiscal Year Ending: 10/31/2022

Run Date: 01/20/2023 Status: UNSUBMITTED Certified Date:N/A

# Schedule of Authority Debt

2,833,030,000.00	481,950,000.00	0 655,630,000.00	2,659,350,000.00	0.00			TOTALS
The state of the s		The state of the s			Conduit Debt - Pilot Increment Financing		Conduit
					Conduit Debt		Conduit
						Authority Debt - Other	Authority Debt - Oth≊r
						Revenue	
2,633,030,000.00	481,950,000.00	555,630,000.00	2,659,350,000.00	0.00		Authority Debt -	Authority Debt - Revenue
	101 020 000 00				THE PARTY OF THE P	General Obligation	Obligation
						Authority Debt -	Authority Debt - Ger eral
			1000		The state of the s	Other State-Funded	Other State-Funded
						State Moral Obligation	State Obligation
1000					- Andrews - Andr	Obligation	
						State Contingent	State Obligation
					- Article and the second and the sec	State Supported	State Obligation
						State Guaranteed	State Obligation
			The state of the s	Amount (\$)	- And Andrews -		
End Amount Total (\$)	Amount Retired (\$)	New Debt Issuance (\$)	Begin Amount Total (\$) New Debt Issuance (\$) Amount Retired (\$) End Amount Total (\$)	Statutory Authorization		****	Type of Debt



Fiscal Year Ending: 10/31/2022

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.



Fiscal Year Ending: 10/31/2022

Run Date: 01/20/2023
Status: UNSUBMITTED
Certified Date:N/A

Personal Property Disposal

This Authority has indicated that it had no personal property disposals during the reporting period.



Fiscal Year Ending: 10/31/2022

Run Date: 01/20/2023 Status: UNSUBMITTED Certified Date:N/A

### Property Documents

Question		Response	IJRI (If Annlicable)
	In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	https://hcr.ny.gov/governance
v	Has the Authority prepared policies prepared for suitable properties the		THAT THE TAXABLE PARTY AND THE TAXABLE PARTY
ŗ	the acquisition and disposal of property?	Yes	https://hcr.ny.gov/governance
ω	In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's Yes	Yes	NIA
	compliance with and enforcement of such guidelines?		
	THE PARTY PA		

## Additional Comments



Annual Report for State of New York Municipal Bond Bank Agency

Fiscal Year Ending: 10/31/2022

Run Date: 01/20/2023
Status: UNSUBMITTED
Certified Date:N/A

# ance Information (Authority-Related)

Governanc	Governance Information (Authority-Related)		\$
Question		Response	URL (if Applicable)
<b></b>	Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	https://hcr.ny.gov/governance
2.	As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	https://hcr.ny.gov/governance
ί'n	Has the Authority named an internal control officer in accordance with section 2931 of PAL?	Yes	N/A
4.	Please enter the number of staff assigned the internal control function.	ω	N/A
5,	Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
6.	Does the independent auditor provide non-audit services to the Authority?	No	N/A
7.	Does the suthority have an organization chart?	No	PARTITION AND THE PARTITION AN
8.	Are any A_thority staff also employed by another government agency?	Yes	HFA SONYMA AHC TSFC DHCR HTF
9.	Has the A_thority posted their mission statement to their website?	Yes	https://hcr.ny.gov/governance
10.	Has the A_thority's mission statement been revised and adopted during the reporting period?	Yes	N/A
11.	Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		https://hcr.ny.gov/governance
12.	Has the Authority adopted a lobbying policy in accordance with section 2987 of PAL?	Yes	https://hcr.ny.gov/governance
	Lobbying afficer name	Alejandro J. Valella, VP	NA
		Counsel	material analyticals — n — unifortee contracts of the contract
13.	Has the Authority maintained a record of all lobbying contacts during the reporting period, as required by section 2987 of PAL?	Yes	NIA



Annual Report for State of New York Municipal Bond Bank Agency

Fiscal Year Ending: 10/31/2022

Run Date: 01/20/2023
Status: UNSUBMITTED
Certified Date:N/A

# Governance Information (Board-Related)

Governance	Governance information (board-kelated)		
Question		Response	URL (if Applicable)
	Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2.	Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
ω	Has the Bcard established a Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4.	Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		https://hcr.ny.gov/governance
5.	Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6.	Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		https://hcr.ny.gov/governance
7.	Has the Board adopted bylaws and made them available to Board members and staff?	Yes	https://hcr.ny.gov/governance
8.	Has the Board adopted a code of ethics for Board members and staff?	Yes	https://hcr.ny.gov/governance
9.	Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10.	Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11.	Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
	Salary and Compensation	Yes	N/A
	Time and Attendance	Yes	N/A
	Whistleblower Protection	Yes	N/A
	Defense and Indemnification of Board Members	Yes	N/A
12.	Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824 (5) of PAL?	Yes	N/A
13.	Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A
14.	Was a performance evaluation of the board completed?	Yes	N/A
15.	Was compensation paid by the Authority made in accordance with employee or union contracts?	Yes	N/A
16.	Has the board adopted a conditional/additional compensation policy governing all employees?	No	



Fiscal Year Ending: 10/31/2022

Run Date: 01/20/2023 Status: UNSUBMITTED Certified Date:N/A

# Board of Directors Listing

Name	Adams, Kenneth G	Nominated By	Governor
Chair of the Board	Yes	Appointed By	Governor
If yes, Chair Designated by	Governor	Confirmed by Senate?	Yes
Term Start Date	6/20/2017	Has the Board Member/Designee	Yes
		Signed the Acknowledgement of	
		Fiduciary Duty?	The state of the s
Term Expiration Date	01/01/2018	Complied with Training	Yes
•		Requirement of Section 2824?	Andread Andrea
Title		Does the Board Member/Designee	No
		also Hold an Elected or Appointed	
		State Government Position?	- trachtytyte in
Has the Board Member Appointed a		Does the Board Member/Designee	No No
Designee?		also Hold an Elected or Appointed	
1		Municipal Government Position?	Amount distributions and the second s
Designee Name		Ex-Officio	

			The second secon
Name	Miller, Joyce L	Nominated By	Governor
Chair of the Board	No	Appointed By	Governor
If yes, Chair Designated by		Confirmed by Senate?	Yes
Term Start Date	6/16/2016	Has the Board Member/Designee	Yes
		Signed the Acknowledgement of	
Term Expiration Date	01/01/2020	Complied with Training	Yes
		Requirement of Section 2824?	ANALYSIS OF THE PROPERTY OF TH
Title	er fræmtigeret man.	Does the Board Member/Designee	No
		also Hold an Elected or Appointed	
		State Government Position?	e we foldown mit from a company of the company of t
Has the Board Member Appointed a	The state of the s	Does the Board Member/Designee	No
Designee?		also Hold an Elected or Appointed	
		Municipal Government Position?	
Designee Name		Ex-Officio	to the state of th
	- Annihilative		

Fiscal Year Ending: 10/31/2022

Run Date: 01/20/2023
Status: UNSUBMITTED
Certified Date:N/A

Name	Mujica, Robert	Nominated By	Ex-Officio
Chair of the Board	No	Appointed By	Ex-Officio
If yes, Chair Designated by		Confirmed by Senate?	N/A
Term Start Date	1/14/2016	Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?	Yes
Term Expiration Date	Ex-Officio	Complied with Training Requirement of Section 2824?	Yes
Title	Director, New York State Division of the Budget	Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?	Yes
Has the Board Member Appointed a Designee?	Yes	Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?	No
Designee Name	Jesse Olczak	Ex-Officio	Yes
Name	Rodriguez, Robert	Nominated By	Governor
Chair of the Board	No	Appointed By	Governor
If yes, Chair Designated by		Confirmed by Senate?	N/A
The state of the s	4440004	II Al- D Manufacilians	

Has the Board Member Appointed a Designee?

S 0

Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?

Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?

Ş

Yes

Secretary of State

Ex-Officio

Designee Name

Title

Term Expiration Date

Term Start Date

11/4/2021

Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?

Yes

Complied with Training Requirement of Section 2824?

8

Yes



Fiscal Year Ending: 10/31/2022

Name	SanFilippo, Andrew A	Nominated By	Comptroller
Chair of the Board	No	Appointed By	Comptroller
If yes, Chair Designated by	and the second s	Confirmed by Senate?	N/A
Term Start Date	2/26/2008	Has the Board Member/Designee Signed the Acknowledgement of	Yes
		Fiduciary Duty?	The state of the s
Term Expiration Date	Pleasure of Authority	Complied with Training	Yes
	TATALAN AND THE PARTY OF THE PA	Requirement of Section 70741	
Title		Does the Board Member/Designee	Yes
		also Hold an Elected or Appointed	
		State Government Position?	The state of the s
Has the Board Member Appointed a		Does the Board Member/Designee	No
Designee?		also Hold an Elected or Appointed	
C		Municipal Government Position?	And the state of t
Designee Name		Ex-Officio	
The state of the s	The state of the s	te de la constitue de la const	
Name	Vacant	Nominated By	Gavernor

THE PARTY OF THE P			•
	Ex-Officio		Designee Name
	Municipal Government Position?		
	also Hold an Elected or Appointed		Designee?
	Does the Board Member/Designee	inted a	Has the Board Member Appointed a
	State Government Position?		
	also Hold an Elected or Appointed		
	Does the Board Member/Designee		Title
The state of the s	Requirement of Section 2824?		,
	Complied with Training		Term Expiration Date
The control of the co	Fiduciary Duty?	_	
	Signed the Acknowledgement of		
	Has the Board Member/Designee		Term Start Date
And and a state of the state of	Confirmed by Senate?		If yes, Chair Designated by
Governor	Appointed By		Chair of the Board
Governor	Nominated By	Vacant	Name



Fiscal Year Ending: 10:31/2022

Name	Vacant	Nominated By	Governor
Chair of the Board	er er fram der getaan a	Appointed By	Governor
If yes, Chair Designated by	en distance of	Confirmed by Senate?	- Additional
Term Start Date	- ANAMANA	Has the Board Member/Designee	
		Signed the Acknowledgement of	
		Fiduciary Duty?	LA A A A A A A A A A A A A A A A A A A
Term Expiration Date	The state of the s	Complied with Training	
٠		Requirement of Section 2824?	- Addition of the state of the
Title		Does the Board Member/Designee	
		also Hold an Elected or Appointed	
		State Government Position?	
Has the Board Member Appointed a		Does the Board Member/Designee	
Designee?		also Hold an Elected or Appointed	
•		Municipal Government Position?	- Admittatur II
Designee Name		Ex-Officio	



Fiscal Year Ending: 10/31/2022

Department/Union Bargaining Full Time Exempt Base Actual Salary Overtime Subsidiary Name Wart Time Salary Individual Salary Individual Salary Individual Salary Individual Authority Salary Individual Authority Salary Individual Salary Individual Salary Individual Authority Salary Individual Salary In	Staff Listing	Name Title Group Der					
Actual Salary Overtime Performance Extra Pay Other Compensation Miration Mathematics (Adjustments)		partmentUnion	bsidiary Name				
Actual Salary Overtime Performance Extra Pay Other Compensation Maired Individual Authority Authority Adjustments		Bargaining Full Time Exer	Unit Name /Part Time				non/e-
ry Overtime Performance Extra Pay Other Compensation Authority Adjustments			Annualized	valary			
Performance Extra Pay Other Bonus Compensation (Allowances (Adjustments)		Actual Salary (					
ensation ances stments	a property and the second seco		•	Contraction			
ensation ances stments		Performance	Bonus				
ensation ances stments		Extra Pay					
Total Individual If Compensation also paid by Pananother by entity to Lo Perform the Go Work of Authority				Adjustments			
also paid by Pananother by enfity to Perform the Gwork of Authority		Total	Compensation				
े टिंड छ स		Individual	also paid by		Perform the	Work of	Authority
If Yes, Is the Payment made by State or Local Government		If Yes, Is the	Payment made by State or	Local	Government		



Fiscal Year Ending: 10/31/2022

Run Date: 01/20/2023
Status: UNSUBMITTED
Certified Date:N/A

#### Benefit Information

During the fiscal year, did the authority continue to pay for any of the above mentioned benefits for former staff or individuals affiliated with the Authority after those individuals left the Authority?

No

Name	Title	Severance	Payment for Club	Club	Use of	Personal	Auto	Transportation Housing				Multi-Year None of	NO.
i	į	Package	Unused	Memberships Corporate		Loans			ö	7	Assistance	Employment these	
		į			ß								Benefits
									*****	Insurance			
Adams, Kenneth G	Board of												
	Directors										-		+
Miller, Joyce L	Board of												$\overline{}$
•	Directors												1
Mujica, Robert	Board of												
	Directors					- Control of the Cont							. -
Olczak, Jesse	Board of												
	C rectors								-				
Rodriguez, Robert	Board of											-	
	Cirectors												
SanFilippo, Andrew Board of	Board of										·····		~~~
	Lirectors									- Landard			
Vacant	Board of												
	Cirectors							A	- Carrier -	- Company			
Vacant	Exard of												$\overline{}$
	Cirectors												

1			Name	Staff
			Title	
		Package	Severance	
	Leave	for Unused Memb	Payment Club	***************************************
	Credit Cards	erships Corporate	Use of	
		Loans	Personal	
			Auto	
		Allow	Transportation Hous	
	_	/ance D	ing	
	nsurance	ependent Life	Spousal /	
		Assistance	luttion	:
	Ē.	Employment	u-rear	
	senetits	nese	None of	
			Other	2



Fiscal Year Ending: 10/31/2022

Run Date: 01/20/2023
Status: UNSUBMITTED
Certified Date:N/A

# Subsidiary/Component Unit Verification

			ANATHANIA ANATANA
Name of Subsidiary/Component Unit		Status	
Tobacco Settlement Firancing Corporation	A-MANAGES SUMMANIA	ACTIVE	
	Add to the state of the state o	, Grand Company	- American
Request Subsidiary/Component Unit Change			
Name of Subsidiary/Component Unit	Status	The state of the s	Requested Changes
- Annual		and the second s	
Request Add Subsidiaries/Component Units			
Name of Subsidiary/Component Unit	Establishment Date		Purpose of Subsidiary/Component Unit
Request Delete Subsidiaries/Component Units			
Name of Subsidiary/Component Unit	Termination Date	Reason for Termination	Proof of Termination Document Name



Fiscal Year Ending: 10/31/2022

Run Date: 01/20/2023
Status: UNSUBMITTED
Certified Date:N/A

#### **Current Debt**

Question       Re         1.       Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?       Yo	Response Yes
2. If yes, has the Authority issued any debt during the reporting period?	Yes

### New Debt Issuances

New Dept Issuances											
Type of Debt: Conduit Debt	bt			Pro	Program:						
Project	Amounts	CUSIP	Bond Closing Taxable Date Status	Taxable Status	Issue Process	True Interest Cost	Interest Type	Term (Yrs.)	Cost Of Issuance	PACB_Project URL	URL
Ţ	Refunding \$7,385,000.00	649887FB	5/6/2022	Tax Exempt	Negotiated	2.77%	Fixed	ø	\$1,071,51 5.65		https://bonds.hcr.ny. gov/nysmbba/i4849
Refunding Bonds,	New \$0.00					•			Č		
2022 Series A	Total \$7,385,000.00										

Fiscal Year Ending: 10:31/2022

Run Date: 01/20/2023 Status: UNSUBMITTED Certified Date:N/A

# Schedule of Authority Debt

TOTALS	Conduit	Conduit	Authority Debt - Other		Authority Debt - Revenue	Obligation	Authority Debt - General	Other State-Funded	State Obligation		State Obligation	State Obligation	State Obligation	•	Type of Debt
	***************************************		Authority Debt - Other	Revenue	Authority Debt -	General Obligation	Authority Debt -	Other State-Funded	State Moral Obligation	Obligation	State Contingent	State Supported	State Guaranteed		***************************************
- Andrewson -	Conduit Debt - Filot Increment Financing	Conduit Debt	THE PARTY OF THE P	WIND THE PROPERTY OF THE PROPE					The state of the s						The state of the s
0.00		0.00	- CONTRACTOR					A						Amount (\$)	Statutory Authorization
0 131,895,000.00	- CATALON - CATA	0 131,895,000.00	- Control of the Cont												Begin Amount Total (\$) New Debt Issuance (\$) Amount Retired (\$) End Amount Total (\$)
7,385,000.00		/,385,000.00					,								New Debt Issuance (\$) A
59,175,000.00	· · · · · · · · · · · · · · · · · · ·	97,7,86		The state of the s										- Line of the late	\mount Retired (\$)
80,105,000.00		00,000,000				The state of the s			The state of the s				The second of th		End Amount Total (\$)



Fiscal Year Ending: 10/31/2022

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.



Fiscal Year Ending: 10/31/2022

Run Date: 01/20/2023 Status: UNSUBMITTED Certified Date:N/A

Personal Property Disposal

This Authority has indicated that it had no personal property disposals during the reporting period.



Fiscal Year Ending: 10/31/2022

Run Date: 01/20/2023 Status: UNSUBMITTED Certified Date:N/A

Question	The state of the s	esponse	URL (If Applicable)
-	In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the	Yes	https://hcr.ny.gov/governance
	Authority. Has this report been prepared?		The state of the s
2.	Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for Yes	Š	https://hcr.ny.gov/governance
	the acquisition and disposal of property?		
<b>.</b>	L, has the Authority named a contracting officer who shall be responsible for the Authority's	Yes	N/A
	compliance with and enforcement of such guidelines?		

### Additional Comments



Fiscal Year Ending: 10/31/2022

Governal	Governance information (Authority-Related)		
Question		Response	URL (if Applicable)
-1	Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section	Yes	https://hcr.ny.gov/governance
5	As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	https://hcr.ny.gov/governance
ω	Has the Authority named an internal control officer in accordance with section 2931 of PAL?	Yes	N/A
4.	Please enter the number of staff assigned the internal control function.	3	N/A
5.	Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of	Yes	N/A
	PAL!		N. I. P. D. Christian Control of the
	Does the independent auditor provide non-audit services to the Authority?	Č	N)
7.	Does the Authority have an organization chart?	No	
œ	Are any Authority staff also employed by another government agency?	Yes	HFA SONYMA AHC MBBA DHCR HTF
9.	Has the Authority posted their mission statement to their website?	Yes	https://hcr.ny.gov/governance
10.	Has the Authority's mission statement been revised and adopted during the reporting period?	Yes	N/A
11.	Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		https://hcr.ny.gov/governance
12.	Has the Authority adopted a lobbying policy in accordance with section 2987 of PAL?	Yes	https://hcr.ny.gov/governance
	Lobbying cflicer name	Alejandro J. Valella	N/A
13.	Has the Authority maintained a record of all lobbying contacts during the reporting period, as required by section 2987 of PAL?	Yes	N/A



Fiscal Year Ending: 10.31/2022

Run Date: 01/20/2023 Status: UNSUBMITTED Certified Date:N/A

# Governance Information (Board-Related)



Fiscal Year Ending: 10/31/2022

Run Date: 01/20/2023 Status: UNSUBMITTED Certified Date:N/A

# Board of Directors Listing

Name	Adams, Kenneth G	Nominated By	Governor
Chair of the Board	Yes	Appointed By	Governor
If yes, Chair Designated by	Governor	Confirmed by Senate?	Yes
Term Start Date	6/20/2017	Has the Board Member/Designee	Yes
		Signed the Acknowledgement of	
	•	Fiduciary Duty?	
Term Expiration Date	01/01/2018	Complied with Training	Yes
•		Requirement of Section 2824?	Prince Andre
Title		Does the Board Member/Designee	No
		also Hold an Elected or Appointed	
		State Government Position?	and the state of t
Has the Board Member Appointed a		Does the Board Member/Designee	No
Designee?		also Hold an Elected or Appointed	
1		Municipal Government Position?	The state of the s
Designee Name		Ex-Officio	

Name	Miller, Joyce L	Nominated By	Governor
Chair of the Board	No	Appointed By	Governor
If yes, Chair Designated by	The state of the s	Confirmed by Senate?	Yes
Term Start Date	6/17/2016	Has the Board Member/Designee Signed the Acknowledgement of	Yes
		Fiduciary Duty?	· · · · · · · · · · · · · · · · · · ·
Term Expiration Date	01/01/2020	Complied with Training	Yes
		Requirement of Section 2824?	t for a full design design.
Title		Does the Board Member/Designee	No
		also Hold an Elected or Appointed	
		State Government Position?	- Andrewski special control of the c
Has the Board Member Appointed a	TO CONTRACT OF THE CONTRACT OF	Does the Board Member/Designee	No
Designee?		also Hold an Elected or Appointed	
•		Municipal Government Position?	www.hithatiya.hithati
Designee Name	d women were	Ex-Officio	



Fiscal Year Ending: 10/31/2022

Name	Mujica, Robert	Nominated By	Ex-Officio
Chair of the Board	No	Appointed By	Ex-Officio
If yes, Chair Designat∋d by		Confirmed by Senate?	N/A
Term Start Date	1/14/2016	Has the Board Member/Designee	Yes
		Signed the Acknowledgement of	
		Fiduciary Duty?	
Term Expiration Date	Ex-Officio	Complied with Training	Yes
		Requirement of Section 2824?	
Title	Director, New York State Division of Budget	Does the Board Member/Designee	Yes
		also Hold an Elected or Appointed	
		State Government Position?	i i i i i i i i i i i i i i i i i i i
Has the Board Member Appointed a	Yes	Does the Board Member/Designee	No
Designee?		also Hold an Elected or Appointed	
•		Municipal Government Position?	The state of the s
Designee Name	Jesse Olczak	Ex-Officio	Yes

Name	Rodriguez, Robert	Nominated By	Ex-Officio
Chair of the Board	No	Appointed By	Ex-Officio
If yes, Chair Designated by		Confirmed by Senate?	N/A
Term Start Date	11/4/2021	Has the Board Member/Designee	Yes
		Signed the Acknowledgement of	
		Fiduciary Duty?	
Term Expiration Date	Ex-Officio	Complied with Training	No
•		Requirement of Section 2824?	
Title	Secretary of State	Does the Board Member/Designee	Yes
		also Hold an Elected or Appointed	
		State Government Position?	
Has the Board Member Appointed a	No	Does the Board Member/Designee	No
Designee?		also Hold an Elected or Appointed	
•		Municipal Government Position?	
Designee Name		Ex-Officio	Yes

Fiscal Year Ending: 10/31/2022

Name	SanFilippo, Andrew A	Nominated By	Comptroller
Chair of the Board	No	Appointed By	Comptroller
If yes, Chair Designated by	er efskeptel ken p	Confirmed by Senate?	N/A
Term Start Date	2/26/2008	Has the Board Member/Designee	Yes
		Signed the Acknowledgement of	
		Fiduciary Duty?	The mind and the profit of the
Term Expiration Date	Pleasure of Authority	Complied with Training	Yes
,		Requirement of Section 2824?	
Title		Does the Board Member/Designee	Yes
		also Hold an Elected or Appointed	
		State Government Position?	- And Additional Principles
Has the Board Member Appointed a	and the second s	Does the Board Member/Designee	No
Designee?		also Hold an Elected or Appointed	
C		Municipal Government Position?	and the feeting of the second
Designee Name		Ex-Officio	

Name	Vacant	Nominated By	Governor
The state of the s		*	
Chair of the Board		Appointed By	Governor
If yes, Chair Designated by		Confirmed by Senate?	
Term Start Date	and the second s	Has the Board Member/Designee Signed the Acknowledgement of	
		Floucial y Duty:	and the state of t
Term Expiration Date		Complied with Training	
		Requirement of Section 20247	
Title		Does the Board Member/Designee	
		also Hold an Elected or Appointed	
		State Government Position?	with the state of
Has the Board Member Appointed a	The state of the s	Does the Board Member/Designee	
Designee?		also Hold an Elected or Appointed	
,		Municipal Government Position?	de ministração — man A provinção — man A provincia — man A provinc
Designee Name	THE ORIGINAL PROPERTY OF THE P	Ex-Officio	



Fiscal Year Ending: 10/31/2022

Name	Vacant	Nominated By	Governor
Chair of the Board	e delayest	Appointed By	Governor
If yes, Chair Designated by	The contract of the contract o	Confirmed by Senate?	
Term Start Date	u dida desarra.	Has the Board Member/Designee	
		Signed the Acknowledgement of	
		Fiduciary Duty?	
Term Expiration Date		Complied with Training	
		Requirement of Section 2824?	
Title	- Carlotte	Does the Board Member/Designee	
		also Hold an Elected or Appointed	
		State Government Position?	
Has the Board Member Appointed a		Does the Board Member/Designee	
Designee?		also Hold an Elected or Appointed	
C		Municipal Government Position?	rotion (Marketon)
Designee Name	THE STATE OF THE S	Ex-Officio	



Fiscal Year Ending: 16/31/2022

Staff Listing

	Authority											·····		
	Work of													
Perform the Government	Perform the													
Local	entity to	/Adjustments		•										
by State or	another	/Allowances			Authority	Individual	Salary			•				
Payment made	Compensation also paid by Payment made	Compensation		Bonus	Paid by	Annualized Paid to the	Annualized	art Time	Unit Name   Part Time	Name	/Subsidiary Name			
If Yes, Is the	iotal	:	Performance Extra Pay Other	Performance	Overtime	Actual Salary Overtime		ull Time Exe	Bargaining Full Time Exempt Base		DepartmentUnion	Group	Title	Name

Fiscal Year Ending: 10/31/2022

Run Date: 01/20/2023
Status: UNSUBMITTED
Certified Date:N/A

### Benefit Information

During the fiscal year, aid the authority continue to pay for any of the above mentioned benefits for former staff or individuals affiliated with the Authority after those individuals left the Authority?

Ö

Name	Staff	Vacant		Vacant	A	SanFilippo, Andrew		Rodriguez, Robert		Olczak, Jesse		Mujica, Robert		Miller, Joyce L		Adams, Kenneth G			Name	
							L													
Trtle	Directors	Board of	Directors	Bcard of	Directors	Board of	Directors	Board of	Directors	Board of	Directors	Board of	D rectors	Bcard of	D rectors	Bard of			Inte	1
Severance Package																		9	Package	0
Payment for Unused Leave	The state of the s																	Leave	Duised Mem	D
Payment Club Use of for Unused Memberships Corporate Leave	- Anthony of the control of the cont																	Credit Cards	Memberships	5
Use of Corporate Credit Cards												•		•				Credit Cards	Corporate	1100
Personal Loans	***************************************																		Loans	Dareanal
Auto														-					3	A:+>
Transportation Housing Allowance																			T all a por tation	Transportation Housing
Allowance									- Section assured										Allowance	Hausing
Allowance Dependent Life Assistance	de la constitución de la constit																Insurance	Life		Snousal /
Assistance								•			-								nce	Tuition
Muiti-Year None of Employment these Benefits																	-		#	Multi-Year
None of these Benefits		~		×		×		×		>	1	>		×		×		Benefits	these	None of
Otner																			-	Other



Fiscal Year Ending: 10/31/2022

Run Date: 01/20/2023
Status: UNSUBMITTED
Certified Date:N/A

# Subsidiary/Component Unit Verification

Name of Subsidiary/Component Unit	and the second s	Status	
Company of the STATE CONTRACT	- AAAAAFAAHIII HAAFAA	a de mandre de la companya de la com	
Request Subsidiary/Component Unit Change			
Name of Subsidiary/Component Unit	Status	The state of the s	Requested Changes
Request Add Subsidia -es/Component Units			
Name of Subsidiary/Component Unit	Establishment Date	WALLEST TO THE PROPERTY OF THE	Purpose of Subsidiary/Component Unit
Request Delete Subsiciaries/Component Units			
Name of Subsidiary/Component Unit	Termination Date	Reason for Termination	Proof of Termination Document Name



Fiscal Year Ending: 10/31/2022

Run Date: 01/20/2023
Status: UNSUBMITTED
Certified Date:N/A

#### **Current Debt**

Question		Response
-	Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	No
.2	If yes, has the Authority issued any debt during the reporting period?	

New Debt Issuances



Fiscal Year Ending: 10/31/2022

Run Date: 01/20/2023 Status: UNSUBMITTED Certified Date:N/A

# Schedule of Authority Debt

Type of Debt			Statutory Authorization	Begin Amount Total (\$) New Debt Issuance (\$) Amount Retired (\$)	w Debt Issuance (\$) Am		End Amount Total (\$)
State Obligation	State Guaranteed						
State Obligation	State Supported						
State Obligation	State Contingent						
,	Obligation						
State Obligation	State Moral Ob igation						
Other State-Funded	Other State-Funded						
Authority Debt - General	Authority Debt -						
Obligation	General Obligation						
Authority Debt - Revenue	Authority Debt -						
	Revenue						
Authority Debt - Other	Authority Debt - Other						
Conduit		Conduit Debt					
Conduit		Conduit Debt - Pilot Increment Financing				***************************************	
TOTALS							-



Fiscal Year Ending: 10/31/2022

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.



Fiscal Year Ending: 10/31/2022

Run Date: 01/20/2023
Status: UNSUBMITTED
Certified Date:N/A

Personal Property Disposal

This Authority has indicated that it had no personal property disposals during the reporting period.



Fiscal Year Ending: 10/31/2022

Run Date: 01/20/2023 Status: UNSUBMITTED Certified Date:N/A

# Property Documents

Question		Response	URL (If Applicable)
	In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the	Υes	https://hcr.ny.gov/governance
	Authority, Has this report been prepared?		
2.	Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for Yes	Yes	https://hcr.ny.gov/governance
	the acquistion and disposal of property?		(National Association of the Control
3.	In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's Yes	Yes	N/A
	compliance with and enforcement of such guidelines?		

### **Additional Comments**